

(D1251CSL)

ASSIGNMENT - 1

L.L.M. DEGREE EXAMINATION, MARCH 2023

Second Year

CORPORATE REGULATIONS

MAXIMUM : 30 MARKS

ANSWER ALL QUESTIONS

1. Critically examine the Directive Principles of State Policy of Indian constitution, is it supports the development of industries in the Public Sector Undertakings.
2. Explain the powers and functions of SEBI.
3. Explain the composition and Board of directors of Reserve Bank of India.
4. Critically examine the salient features of Competition Act, 2002.
5. Elucidate “IRDA’s controlling authority Insurance Sector in India.

ASSIGNMENT - 2

L.L.M. DEGREE EXAMINATION, MARCH 2023

Second Year

**CORPORATE REGULATIONS
MAXIMUM : 30 MARKS**

ANSWER ALL QUESTIONS

1. Explain the salient features of Foreign Exchange Management Act,1999.
2. Explain the NBFC (Non Banking Financial Companies) prudential norms (RBI directions).
3. What are the powers and functions of TRAI (Telecom Regulatory Authority Of India)?
4. What do you mean by Corporate Governance? Explain how far corporate governance achieved fairness in the corporate sector?
5. Write short notes on ALL of the following:
 - (a) Dominant Position.
 - (b) Development Council
 - (c) Limitations of MRTP Act.
 - (d) Regional Boards Of RBI.

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SECURITIES LAW AND INVESTOR PROTECTION

MAXIMUM : 30 MARKS

ANSWER ALL QUESTIONS

1. Define Depository. Discuss rights of depositors and beneficial owners.
2. What do you mean by Venture Capital? Discuss the Investment philosophy and basis of classification under SEBI (Venture Capital) Regulations, 1996.
3. Briefly discuss the general obligations and responsibilities of Merchant Banker under SEBI Regulations, 1992.
4. Discuss the conditions under which a company may Buy-Back its own shares and other specified securities.
5. Explain the Role of NBFC in the development of Indian Economy.

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ASSIGNMENT – 2

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SECURITIES LAW AND INVESTOR PROTECTION

MAXIMUM : 30 MARKS

ANSWER ALL QUESTIONS

1. Explain the SEBI guidelines for Euro issues.
2. Explain the protective, development. Regulatory functions of SEBI under Securities Board of Exchange of India, 1992.
3. Explain the SEBI underwriter Regulations, 1993.
4. Explain the SEBI guidelines for preferential allotment of shares.
5. Write short notes on any TWO of the following:
 - (a) Underwriter.
 - (b) FII (Foreign Institutional Investors).
 - (c) Government Securities.
 - (d) Guidelines for good and bad deliveries.

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