

(D1251CSL)

**ASSIGNMENT-1**  
**LLM DEGREE EXAMINATION, DEC. – 2017**  
**Second Year**  
**CORPORATE AND SECURITIES LAW**  
**(Paper – I) Corporate Regulations**  
**MAXIMUM MARKS: 30**  
**Answer ALL Questions**

- Q1)** Explain the SEBI guidelines regarding Mutual Funds.
- Q2)** What role does SEBI plays regarding investor protection?
- Q3)** When can the central Government make a full and complete Investigation into industries as specified under 'Industries Development and Regulation) Act, 1951?
- Q4)** What is 'new article'? How are small – scale industries and ancillary industries defined for the purpose of the administration of the Industries (Industrial Development and Regulation) Act, 1951?
- Q5)** What are Monopolistic and Restrictive Trade Practices? How are they controlled under the MRTP Act?

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**ASSIGNMENT-2**  
**LLM DEGREE EXAMINATION, DEC. – 2017**  
**Second Year**  
**CORPORATE AND SECURITIES LAW**  
**(Paper – I) Corporate Regulations**  
**MAXIMUM MARKS: 30**  
**Answer ALL Questions**

- Q1)** How does MRTP Act proposes to prevent concentration of economic power?
- Q2)** Discuss the SEBI norms regarding disclosures and investor protection.
- Q3)** Explain the amendments made to Section 35 B and Section 49 of Listing agreement with respect to corporate governance.
- Q4)** What Regulations under Foreign Exchange Management Act, 1999, are required to be followed by a person dealing in foreign exchange?
- Q5)** What are the objectives of Insurance Regulatory and Development Act, 1999? Briefly state the powers of IRDA.

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**ASSIGNMENT-1**

**LL.M. (Final) DEGREE EXAMINATION, DEC. – 2017**

**(Second Year)**

**CORPORATE AND SECURITIES LAW**

**(Paper – II) : Securities Law and Investor Protection**

**MAXIMUM MARKS: 30**

**Answer ALL Questions**

- Q1)** In the light of SEBI Depositories and Participants Regulation, 1996 explain the procedure for dematerialization of shares as provided under Depositories Act, 1996.
- Q2)** What is an 'Allotment'? Discuss the SEBI Guidelines for Preferential Allotment of shares.
- Q3)** What is the concept of FII? State the procedure making investment by FIIs and discuss the SEBI Guidelines in this regard.
- Q4)** What are Government Securities? Discuss the SEBI Guidelines for de-listing of securities.
- Q5)** What is a "Depository Receipt"? Give an account on various kinds of depositories.

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**ASSIGNMENT-2**

**LL.M. (Final) DEGREE EXAMINATION, DEC. – 2017**

**(Second Year)**

**CORPORATE AND SECURITIES LAW**

**(Paper – II) : Securities Law and Investor Protection**

**MAXIMUM MARKS: 30**

**Answer ALL Questions**

- Q1)** Who are underwriters? Discuss the SEBI Regulations in this aspect.
- Q2)** Explain the rules provided under SEBI with regard to substantial acquisition of shares and takeovers.
- Q3)** Discuss the meaning, function of Collective Investment Schemes. Explain the SEBI Regulations in this regard.
- Q4)** Define an Investor. Discuss the way in which an investor can claim protection Consumer Protection Act, 1886.
- Q5)** What are 'Derivatives'? Explain the guidelines issued for good/bad derivatives.

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